I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Address" service under 37 CFR 1.10 on the date indicated above and is addressed to:

Atty Docket No.: A5152D1-T39510 Client Ref. No. 005152 D1 TTC No. 016301-039510US

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

CANFENG LAI et al.

Application No.: Unassigned

Filed: Herewith

For:

MULTI-CORE TRANSFORMER

PLASMA SOURCE

Customer No. 20350

Examiner:

Unassigned

Art Unit:

Unassigned

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. This application is a divisional application of and relies on U.S. Appl. No. 09/839,360, filed on April 20, 2001 (the "parent application") for an earlier effective filing date under 35 U.S.C. § 120. All of the references were submitted to or by the U.S. Patent and Trademark Office in the parent application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Patrick M. Boucher Reg. No. 44,037

TOWNSEND and TOWNSEND and CREW LLP Telephone (303) 571-4000 Fax (303) 571-4321 PMB/nlm 60128172 v1

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Attorney Docket No.: A	No.: A5152D1/T39510 Application No.: Unassigned			
			Applicant: CANFENG LAI et al.				
			Filing Date: Herewith		Group: Unassigned		
Reference Design	gnation		U.S. PATENT DOCUME	ENTS		Page 1	
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AA	3,291,715	12/13/66	G.S. Anderson	204	298		
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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Attorney Docket No.: A5152D1/T39510		Application No.: Unassigned	
			Applicant: CANFENG LAI et al.			
		Filing Date: Herewith		Group: Unassigned		
	T					(Yes/No)
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BE	WO 99/00823	01/07/99	WIPO	H01J	37/32	yes
BF	JP 2-260399	10/23/90	Japan	Н05Н	1/46	yes (abstract only)
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ВН	JP 5-144594	06/11/93	Japan	Н05Н	1/46	yes (abstract only)

FORM PTO-1449 (Modified)		Attorney Docket No.: A5152D1/T39510	Application No.: Unassigned				
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE		Applicant: CANFENG LAI et al.					
STATEMENT (Use several sheets if necessary)	Applicant: CANFENG LAI et al.					
		Filing Date: Herewith	Group: Unassigned				
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BK	H.U. Eckert, "Induction Plasmas at Low Frequencies," AIAA Journal, Vol. 9, No. 8, pp. 1452-1456 (August 1971).						
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EXAMINER		DATE CONSIDERED					

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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